

Internal audit plan 2010/11

Resources 2009/10 original plan (days)	2009/10 Revised plan (days)	Outcome	Stakeholder / customer	Target date	Responsible officer	Resources 2010/11 (days)	Resources 2011/12 (days)	Resources 2012/13 (days)	Source/evidence
4	4	1 Annual internal audit report	Treasurer, Director of Corporate Services	June 2011	AH/KS	4	4	4	Annual audit plan/reports
	2	2 Assurance statement and other assurance work	Treasurer, Director of Corporate Services	June 2011	AH/KS	3	3	3	Annual internal audit report LPSA2 assurance and grants
7	7	3 Annual internal audit plan: - preparation	Treasurer, Director of Corp. Services, Finance & Office Services Manager	Jan/Feb 2010	AH/KS	7	7	7	Risk assessment 2009/10 delivery Liaison with HFRS
5	5	- monitoring)	Monthly	AH	5	5	5	AMS reports
3	3	4 Progress reports	Director of Corp. Services, Finance & Office Services Manager	Half yearly	AH IB	3	3	3	Progress sheets
5	10	5 Liaison / advice	Managers and Supervisors	Ongoing	AH	7	7	7	Ad hoc requests, Gov Cttee
2	2	6 Follow-up	Director of Corp. Services, Fin.& Officer Serv. Manager	As required	AH	2	2	2	2009/10 reports
30	30	7 Audit assignments:	Treasurer, D. Corp. Services	31 March 2011	AH	30	30	15	Detailed audit plan
19	14	- key financial systems	Managers and Supervisors		AH	30	16	12)
127	129	- establishment visits	Managers and Supervisors		AH	126	127	170)
35	25	- departmental systems	Managers and Supervisors		LE	20	40	20)
		- computer audit	Managers and Supervisors)
10	10	8 Special Investigations	Director of Corp. Services, Fin.& Officer Serv. Manager		IB	10	10	10	
3	3	9 National Fraud Initiative	Treasurer, D. Corp. Services		IB	1	3	1	
250	244	Total				248	257	259	