

Internal Audit Plan 2014-2015

Hampshire County Council – Summary Internal Audit Plan

	2014-2015
Corporate cross cutting	490
Corporate governance	334
Financial management	195
Information Technology	170
Corporate objective - Safer and more secure for all	433
Corporate objective - Maximising wellbeing	335
Corporate objective - enhancing our quality of place	230
Miscellaneous service reviews	135
Other direct audit activity	224
Total	2546

Hampshire County Council – Detailed Internal Audit Plan

	Priority	2014-2015
Corporate cross cutting		
Sold services	High	✓
Strategic planning and performance management	Medium	✓
Employment practices	High	✓
Information management	High	✓
Business Continuity/Emergency Planning	High	✓
Health and Safety	High	✓
Procurement	High	✓
Capital programme	High	✓
Contract management	High	✓
Contact Centre (Hantsdirect)	Medium	✓
Facilities management/ Workstyles	Medium	✓
Grants received	High	✓
Grants granted	Medium	✓
Information security	High	✓
H3 / IBC	High	✓
Advertising	Medium	✓
Corporate sponsorship	Medium	✓
Total days		490

Corporate Governance	Priority	2014-2015
Risk Management	Medium	✓
Complaints and compliments	Medium	✓
Transparency agenda	Medium	✓
Decision making and accountability	Medium	✓
National Fraud Initiative	High	✓
Proactive fraud initiatives	High	✓
Reactive fraud investigations	High	✓
Annual Governance Statement	Medium	✓
Annual review of effectiveness of internal audit	High	✓
Total days		334

Financial Management	Priority	2014-2015
Treasury Management	High	✓
Bank Reconciliations	Medium	✓
Accounting for assets	High	✓
Main Accounting System	High	✓
Accounts Payable	High	✓
Accounts Receivable	High	✓
Budget Management	High	✓
Payroll	High	✓
Total days		195

Information Technology	Priority	2014-2015
HPSN	Medium	✓
Network management and monitoring	High	✓
IT operating systems	High	✓
IT efficiency programme	Low	✓
Mobile and flexible working	High	✓
Business processes and ordering process	High	✓
Platform monitoring (Windows & Linux)	Medium	✓
Wireless security	Medium	✓
Database management & security	Medium	✓
IT Asset management	Medium	✓
H3 / IBC	High	✓
Total days		170

Corporate objective - Safer and more secure for all	Priority	2014-2015
Safeguarding - Children	High	✓
Safeguarding - Adults	High	✓
School thematic review 1 - contract management	High	✓
School thematic review 2 - budgetary control	High	✓
School thematic review 3 - expense management	High	✓
School thematic review 4 - payroll or procurement	High	✓
Children's services establishments - thematic reviews	Medium	✓
Establishment reactive reviews	High	✓
Schools Financial Value Standard	High	✓
Home to school transport	Medium	✓
Post 14 provision	Medium	✓
SEN and specialist advisory teachers	Medium	✓
Family placement and foster care	Medium	✓
Electronic case recording system	Medium	✓
Charging and contribution policy for children in care	High	✓
Integrated Youth Service and Care Leavers	Medium	✓
Direct payments	High	✓
Commissioning and purchasing of services	High	✓
Friends and family placements	Medium	✓
Music Service	Medium	✓
Primary Behaviour Service	Medium	✓
Troubled families	High	✓
Education Centres	High	✓
Hampshire Education Psychology Service	Medium	✓
IOW Partnership	Medium	✓
Total days		433

Corporate objective - Maximising wellbeing	Priority	2014-2015
Adult services establishments - thematic reviews	Medium	✓
Personalisation - self directed support	High	✓
Reablement services	High	✓
Charging and contribution policies	High	✓
Continuing health care	Medium	✓
Health Partnerships and joint commissioning	High	✓
Transition of clients from Children's to Adult services	Medium	✓
Social care reform	Medium	✓
Electronic Domiciliary Care Monitoring System (EDCM)	High	✓
Public Health	High	✓
Extra care housing	High	✓
Out of County Placements	Medium	✓
Out of Hours Service	High	✓
Total days		335

Corporate objective - enhancing our quality of place	Priority	2014-2015
Street lighting	Medium	✓
Highways maintenance	High	✓
Waste Disposal	High	✓
CCBS establishment thematic review	Medium	✓
Traffic management	Medium	✓
River Hamble	-	✓
Highways Fund	Medium	✓
Local Sustainable Transport Fund (LSTF)	Medium	✓
Local Bus Subsidy Support	Medium	✓
District working arrangements	Medium	✓
County planning process	Medium	✓
Regeneration	High	✓
Developer contributions	High	✓
Total days		230
Miscellaneous service reviews	Priority	2014-2015
Term engineering contracts	High	✓
Business units	Medium	✓
Property Strategic Partners	High	✓
Property Services – Trading Company	High	✓
Contract management - Matrix	High	✓
Property Joint Working Arrangements	Medium	✓
Property - built estate	Medium	✓
Total days		135
Other direct audit activity	Priority	2014-2015
Annual Audit Report	-	✓
Operational plan preparation	-	✓
Monitoring, liaison & reporting	-	✓
External Audit Liaison	-	✓
IT developments and working groups	-	✓
Advice	-	✓
Audit Committee	-	✓
Follow up	-	✓
Freedom of information	-	✓
Total days		224
Total days		2546