

Strategic internal audit plan 2012/13 to 2014/15

Appendix 1

2011/12	Review Type	Audit	Audit Sponsor	Priority H/M/L	Audit coverage		
					2012-13	2013-14	2014-15
	Management Activities						
✓	MA	Annual report			✓	✓	✓
✓	MA	Progress reports			✓	✓	✓
✓	MA	Advice, liaison, Committees			✓	✓	✓
✓	MA	Planning and monitoring			✓	✓	✓
✓	MA	Grants and other assurance work, including assurance mapping for health and safety, business continuity etc			✓	✓	✓
✓	MA	Follow-up work			✓	✓	✓
	Financial Management / Key Financial Systems						
✓	FM	Payroll, including agency staff and consultants		H		✓	
✓	FM	SAP / Creditors		H			✓
	FM	Debtors and cash income		M		✓	
	FM	Budgetary control and financial planning		H	✓		
	FM	Treasury management		M			
	FM	Pension arrangements		M			✓
	Governance and Strategy						
	GS	Strategy, planning, performance and quality (including workforce planning)		M	✓	✓	✓
	GS	Risk management		M	✓		
	GS	Partnerships / Shared services and associated contracts		H		✓	
	GS	Information management		M		✓	
	Procurement						
	PR	Procurement		H	✓		✓
✓	PR	Revenue Contracts		H			✓
	PR	Capital contracts (various)		M		✓	
	Human Resources						
	HR	Recruitment, pre-employment checks, leavers, CRB etc including agency staff and consultants		M			✓
✓	HR	Safeguarding		H			✓
	HR	Training Centre		H	✓		
	Other financial systems / miscellaneous						
✓	OS	Taxation (various inc. VAT, benefits in kind)		H		✓	
	OS	Network control		H		✓	
	OS	Sponsorships		H	✓		
	OS	Data quality		M		✓	
	OS	Project and programme management (and/or Specific projects)		M	✓		
✓	OS	Financial Challenge/Efficiency Outcomes		H		✓	✓
	OS	Property management		M			✓
	OS	Fleet Maintenance Centre		H	✓		
	OS	RDS availability		H	✓		
	OS	Sustainability		M	✓		

2011/12	Review Type	Audit	Audit Sponsor	Priority H/M/L	Audit coverage		
					2012-13	2013-14	2014-15
	Themed audits						
✓	TA	Various themes		H	✓	✓	✓
	Special investigations						
✓	SI	Proactive fraud work			✓	✓	✓
✓	SI	NFI			✓	✓	✓
	Computer Audit						
	CA	Applications (Various)		H	✓	✓	✓
✓	CA	FireWatch		H	✓		
✓	CA	IT Infrastructure, security and accesses (Various themes)		H			✓
	CA	IT Strategy, management and governance		M		✓	
185		Total audit days			185	185	185

Key to sponsors

CO	Chief Officer
D of CS	Director of Corporate Services
D of HR	Director of Human Resources
H of F&OS	Head of Financial and Office Services
D of SD	Director of Service Delivery
H of M&C	Head of Marketing and Communications