

## HAMPSHIRE COUNTY COUNCIL

### Decision Report

<b>Decision Maker:</b>	Pension Fund Panel and Board
<b>Date:</b>	04 March 2016
<b>Title:</b>	Governance - Internal Audit Progress Report – February 2016
<b>Reference:</b>	7378
<b>Report From:</b>	<i>Director of Corporate Resources – Corporate Services</i>

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### 1. Executive Summary

1.1. The purpose of this paper is to provide the Pension Fund Panel and Board with an overview of the internal audit work completed in accordance with the approved plan.

### 2. Contextual information

2.1. At the request of the Pension Fund Panel and Board, the Chief Internal Auditor has provided a progress report against internal activity on the pension fund summarising:

- The status of 'live' internal audit reports;
- An update on progress against the annual audit plan;
- A summary of internal audit performance, planning and resourcing issues; and
- A summary of significant issues that impact on the Chief Internal Auditor's annual opinion.

2.2. Appendix 1 summarises internal activity for the period ending 20 February 2016.

2.3. A review of the new governance arrangements has been undertaken in 2015/16. The final report has been included for information (Appendix 2).

### 3. Recommendation(s)

That the Pension Fund Panel and Board note the progress of internal audit work for the period ending 20 February 2016.

**CORPORATE OR LEGAL INFORMATION:****Links to the Corporate Strategy**

<b>Hampshire safer and more secure for all:</b>	yes/no
<b>Maximising well-being:</b>	yes/no
<b>Enhancing our quality of place:</b>	yes/no
<b>OR</b>	
<b>This proposal does not link to the Corporate Strategy but, nevertheless, requires a decision because:</b>	
Accounts and Audit (England) Regulations 2015.	

**Section 100 D - Local Government Act 1972 - background documents**

**The following documents discuss facts or matters on which this report, or an important part of it, is based and have been relied upon to a material extent in the preparation of this report. (NB: the list excludes published works and any documents which disclose exempt or confidential information as defined in the Act.)**

DocumentLocation

None

## **IMPACT ASSESSMENTS:**

### **1. Equality Duty**

1.1. The County Council has a duty under Section 149 of the Equality Act 2010 ('the Act') to have due regard in the exercise of its functions to the need to:

- Eliminate discrimination, harassment and victimisation and any other conduct prohibited under the Act;
- Advance equality of opportunity between persons who share a relevant protected characteristic (age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, gender and sexual orientation) and those who do not share it;
- Foster good relations between persons who share a relevant protected characteristic and persons who do not share it.

**Due regard in this context involves having due regard in particular to:**

- a) The need to remove or minimise disadvantages suffered by persons sharing a relevant characteristic connected to that characteristic;
- b) Take steps to meet the needs of persons sharing a relevant protected characteristic different from the needs of persons who do not share it;
- c) Encourage persons sharing a relevant protected characteristic to participate in public life or in any other activity which participation by such persons is disproportionately low.

### **1.2. Equalities Impact Assessment:**

Equality objectives are not considered to be adversely affected by the proposals within this report

### **2. Impact on Crime and Disorder:**

2.1. The proposals in this report are not considered to have any direct impact on the prevention of crime, however internal audit work has provided to ensure that controls are in place to minimise the risk of fraud and corruption against the County Council.

### **3. Climate Change:**

- a) How does what is being proposed impact on our carbon footprint / energy consumption?

No specific changes

- b) How does what is being proposed consider the need to adapt to climate change, and be resilient to its longer term impacts?

No specific proposals affecting adaption to climate change